

# Complex Analysis Qualifying Exam Notes

D. Zack Garza

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## Contents

<b>1 Useful Techniques</b>	<b>2</b>
<b>2 Definitions</b>	<b>2</b>
<b>3 Theorems</b>	<b>4</b>
3.1 Basics . . . . .	4
3.2 Holomorphic and Entire Functions . . . . .	4
3.2.1 Key Theorems . . . . .	4
3.2.2 Others . . . . .	5
3.3 Series and Analytic Functions . . . . .	6
3.4 Others . . . . .	7
<b>4 Residues</b>	<b>7</b>
<b>5 Conformal Maps</b>	<b>8</b>
5.1 Plane to Disc . . . . .	9
5.2 Sector to Disc . . . . .	9
5.3 Strip to Disc . . . . .	10
<b>6 Schwarz Reflection</b>	<b>10</b>
<b>7 Zeros and Poles</b>	<b>10</b>
7.1 Singularities . . . . .	10
7.2 Counting Zeros . . . . .	10
<b>8 Linear Fractional Transformations</b>	<b>11</b>
<b>9 Appendix: Proofs of the Fundamental Theorem of Algebra</b>	<b>12</b>
9.0.1 Fundamental Theorem of Algebra: Argument Principle . . . . .	12
9.0.2 Fundamental Theorem of Algebra: Rouché's Theorem . . . . .	12
9.0.3 Fundamental Theorem of Algebra: Liouville's Theorem . . . . .	12
9.0.4 Fundamental Theorem of Algebra: Open Mapping Theorem . . . . .	13
<b>10 Appendix</b>	<b>13</b>
10.1 Misc Prerequisites . . . . .	13

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## 1 Useful Techniques

**Showing a function is constant:**

- Write  $f = u + iv$  and use Cauchy-Riemann to show  $u_x, u_y = 0$ , etc.
- Show that  $f$  is entire and bounded.

**Showing a function is zero:** Show  $f$  is entire, bounded, and  $\lim_{z \rightarrow \infty} f(z) = 0$ .

Things to know well:

- Estimates for derivatives, mean value theorem
- Cauchy's Theorem
- Cauchy's Integral Formula
- Cauchy's Inequality
- Morera's Theorem
- The Schwarz Reflection Principle
- Maximum Modulus Principle
- The Schwarz Lemma
- Liouville's Theorem
- Casorati-Weierstrass Theorem
- Rouché's Theorem
- Properties of linear fractional transformations
- Automorphisms of  $\mathbb{D}, \mathbb{C}, \mathbb{CP}^1$ .

**Computing Arguments:**  $\text{Arg}(z/w) = \text{Arg}(z) - \text{Arg}(w)$ .

## 2 Definitions

**Definition 2.0.1** (Analytic).

A function  $f : \Omega \rightarrow \mathbb{C}$  is *analytic* at  $z_0 \in \Omega$  iff there exists a power series  $g(z) = \sum a_n(z - z_0)^n$  with radius of convergence  $R > 0$  and a neighborhood  $U \ni z_0$  such that  $f(z) = g(z)$  on  $U$ .

**Definition 2.0.2** (Holomorphic).

A function  $f : \mathbb{C} \rightarrow \mathbb{C}$  is *holomorphic* at  $z_0$  if the following limit converges:

$$\lim_{h \rightarrow 0} \frac{1}{h} (f(z_0 + h) - f(z_0)) := f'(z_0).$$

Examples:

- $f(z) = \frac{1}{z}$  is holomorphic on  $\mathbb{C} \setminus \{0\}$ .
- $f(z) = \bar{z}$  is *not* holomorphic, since  $\frac{\bar{h}}{h}$  does not converge (but is real differentiable).

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**Definition 2.0.3** (Entire).

A function that is holomorphic on  $\mathbb{C}$  is said to be *entire*.

**Definition 2.0.4** (Meromorphic).

A function  $f : \Omega \rightarrow \mathbb{C}$  is *meromorphic* iff there exists a sequence  $\{z_n\}$  such that

- $\{z_n\}$  has no limit points in  $\Omega$ .
- $f$  is holomorphic in  $\Omega \setminus \{z_n\}$ .
- $f$  has poles at the points  $\{z_n\}$ .

If  $f$  is either holomorphic or has a pole at  $z = \infty$  is said to be meromorphic on  $\mathbb{CP}^1$ .

**Definition 2.0.5** (Harmonic).

A real function of two variables  $u(x, y)$  is *harmonic* iff its Laplacian vanishes:

$$\Delta u := \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) u = 0.$$

**Definition 2.0.6** (Cauchy-Riemann Equations).

$$\begin{aligned} u_x &= v_y & \text{and} & & u_y &= -v_x \\ \frac{\partial u}{\partial r} &= \frac{1}{r} \frac{\partial v}{\partial \theta} & \text{and} & & \frac{\partial v}{\partial r} &= -\frac{1}{r} \frac{\partial u}{\partial \theta} \end{aligned}$$

**Definition 2.0.7** (Principal Part and Residue).

In a Laurent series  $f(z) := \sum_{n \in \mathbb{Z}} c_n (z - z_0)^n$ , the *principal part of  $f$  at  $z_0$*  consists of terms with negative degree:

$$P_f(z) := \sum_{n=1}^{\infty} c_{-n} (z - z_0)^{-n}.$$

The *residue of  $f$  at  $z_0$*  is the coefficient  $c_{-1}$ .

**Definition 2.0.8** (Removable Singularities).

If  $z_0$  is a singularity of  $f$  and there exists a  $g$  such that  $f(z) = g(z)$  for all  $z$  in some deleted neighborhood  $U \setminus \{z_0\}$ , then  $z_0$  is a *removable singularity* of  $f$ .

**Definition 2.0.9** (Pole Terminology).

A *pole*  $z_0$  of a meromorphic function  $f(z)$  is a zero of  $g(z) := \frac{1}{f(z)}$ . If there exists an  $n$  such that

$$\lim_{z \rightarrow z_0} (z - z_0)^n f(z)$$

is holomorphic and nonzero in a neighborhood of  $z_0$ , then the minimal such  $n$  is the *order* of the pole. A pole of order 1 is said to be a *simple pole*.

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The pole  $z_0$  is *isolated* iff there exists a neighborhood of  $z_0$  containing no other poles of  $f$ .

**Definition 2.0.10** (Essential Singularity).

A singularity  $z_0$  is *essential* iff it is neither removable nor a pole.

Equivalently, a Laurent series expansion about  $z_0$  has a principal part with infinitely many terms.

## 3 Theorems

### 3.1 Basics

**Theorem 3.1** (*Green's Theorem*).

If  $\Omega \subseteq \mathbb{C}$  is bounded with  $\partial\Omega$  piecewise smooth and  $f, g \in C^1(\bar{\Omega})$ , then

$$\int_{\partial\Omega} f dx + g dy = \iint_{\Omega} \left( \frac{\partial g}{\partial x} - \frac{\partial f}{\partial y} \right) dA.$$

**Theorem 3.2** (*Summation by Parts*).

Define the forward difference operator  $\Delta f_k = f_{k+1} - f_k$ , then

$$\sum_{k=m}^n f_k \Delta g_k + \sum_{k=m}^{n-1} g_{k+1} \Delta f_k = f_n g_{n+1} - f_m g_m$$

Note: compare to  $\int_a^b f dg + \int_a^b g df = f(b)g(b) - f(a)g(a)$ .

### 3.2 Holomorphic and Entire Functions

#### 3.2.1 Key Theorems

**Theorem 3.3** (*Cauchy's Theorem*).

If  $f$  is holomorphic on  $\Omega$ , then

$$\int_{\partial\Omega} f(z) dz = 0.$$

Slogan: closed path integrals of holomorphic functions vanish.

**Theorem 3.4** (*Morera's Theorem*).

If  $f$  is continuous on a domain  $\Omega$  and  $\int_T f = 0$  for every triangle  $T \subset \Omega$ , then  $f$  is holomorphic.

Slogan: if every integral along a triangle vanishes, implies holomorphic.

**Theorem 3.5** (*Liouville's Theorem*).

If  $f$  is entire and bounded,  $f$  is constant.

**Theorem 3.6 (Cauchy Integral Formula).**

Suppose  $f$  is holomorphic on  $\Omega$ , then

$$f(z) = \frac{1}{2\pi i} \oint_{\partial\Omega} \frac{f(\xi)}{\xi - z} d\xi$$

and

$$\frac{\partial^n f}{\partial z^n}(z) = \frac{n!}{2\pi i} \int_{\partial\Omega} \frac{f(\xi)}{(\xi - z)^{n+1}} d\xi.$$

**3.2.2 Others****Theorem 3.7 (Holomorphic functions have harmonic components).**

If  $f(z) = u(x, y) + iv(x, y)$ , then  $u, v$  are harmonic.

**Theorem 3.8 (Holomorphic functions are continuous.).**

$f$  is holomorphic at  $z_0$  iff there exists an  $a \in \mathbb{C}$  such that

$$f(z_0 + h) - f(z_0) - ah = h\psi(h), \quad \psi(h) \xrightarrow{h \rightarrow 0} 0.$$

In this case,  $a = f'(z_0)$ .

**Proposition 3.9 (Cauchy-Riemann implies holomorphic).**

If  $f = u + iv$  with  $u, v \in C^1(\mathbb{R})$  satisfying the Cauchy-Riemann equations on  $\Omega$ , then  $f$  is holomorphic on  $\Omega$  and  $f'(z) = \frac{\partial f}{\partial z} = \frac{1}{2} \left( \frac{\partial}{\partial x} + \frac{1}{i} \frac{\partial}{\partial y} \right) f$ .

**Proposition 3.10 (Polar Cauchy-Riemann equations).**

$$\frac{\partial u}{\partial r} = \frac{1}{r} \frac{\partial v}{\partial \theta} \quad \text{and} \quad \frac{1}{r} \frac{\partial u}{\partial \theta} = -\frac{\partial v}{\partial r}.$$

*Proof.*

**Concepts Used:**

- See walkthrough here.
- See problem set 1.
- Take derivative along two paths, along a ray with constant angle  $\theta_0$  and along a circular arc of constant radius  $r_0$ .
- Then equate real and imaginary parts. ■

**Theorem 3.11 (Open Mapping).**

Any holomorphic non-constant map is an open map.

**3.3 Series and Analytic Functions****Proposition 3.12 (Power Series are Smooth).**

Any power series is smooth and its derivatives can be obtained using term-by-term differentiation.

**Proposition 3.13 (Uniform Convergence of Series).**

A series of functions  $\sum_{n=1}^{\infty} f_n(x)$  converges uniformly iff

$$\lim_{n \rightarrow \infty} \left\| \sum_{k \geq n} f_k \right\|_{\infty} = 0.$$

**Theorem 3.14 (Weierstrass M-Test).**

If  $\{f_n\}$  with  $f_n : \Omega \rightarrow \mathbb{C}$  and there exists a sequence  $\{M_n\}$  with  $\|f_n\|_{\infty} \leq M_n$  and  $\sum_{n \in \mathbb{N}} M_n < \infty$ ,

then  $f(x) := \sum_{n \in \mathbb{N}} f_n(x)$  converges absolutely and uniformly on  $\Omega$ .

Moreover, if the  $f_n$  are continuous, by the uniform limit theorem,  $f$  is again continuous.

**Proposition 3.15 (Exponential is uniformly convergent in discs).**

$f(z) = e^z$  is uniformly convergent in any disc in  $\mathbb{C}$ .

*Proof.*

Apply the estimate

$$|e^z| \leq \sum \frac{|z|^n}{n!} = e^{|z|}.$$

Now by the  $M$ -test,

$$|z| \leq R < \infty \implies \left| \sum \frac{z^n}{n!} \right| \leq e^R < \infty.$$

■

**Proposition 3.16 (Checking radius of convergence).**

For a power series  $f(z) = \sum a_n z^n$ , define  $R$  by

$$\frac{1}{R} := \limsup |a_n|^{\frac{1}{n}}.$$

Then  $f$  converges absolutely on  $|z| < R$  and diverges on  $|z| > R$ .

**Theorem 3.17 (Maximum Modulus).**

If  $f$  is holomorphic and nonconstant on an open region  $\Omega$ , then  $|f|$  can not attain a maximum on  $\Omega$ .

If  $\Omega$  is bounded and  $f$  is continuous on  $\bar{\Omega}$ , then  $\max |f|$  occurs on  $\partial\Omega$ .

Conversely, if  $f$  attains a local maximum at  $z_0 \in \bar{\Omega}$ , then  $f$  is constant on  $\Omega$ .

**3.4 Others****Theorem 3.18 (Casorati-Weierstrass).**

If  $f$  is holomorphic on  $\Omega \setminus \{z_0\}$  where  $z_0$  is an essential singularity, then for every  $V \subset \Omega \setminus \{z_0\}$ ,  $f(V)$  is dense in  $\mathbb{C}$ .

The image of a disc punctured at an essential singularity is dense in  $\mathbb{C}$ .

**Theorem 3.19 (Little Picard).**

Todo

???

**Theorem 3.20 (Continuation Principle / Identity Theorem).**

If  $f$  is holomorphic on a bounded connected domain  $\Omega$  and there exists a sequence  $\{z_i\}$  with a limit point in  $\Omega$  such that  $f(z_i) = 0$ , then  $f \equiv 0$  on  $\Omega$ .

Two functions agreeing on a set with a limit point are equal on a domain.

**Corollary 3.21.**

The ring of holomorphic functions on a domain in  $\mathbb{C}$  has no zero divisors.

Find the proof!

*Proof.*  
???

■

**4 Residues****Theorem 4.1 (Cauchy's Inequality).**

For  $z_0 \in D_R(z_0) \subset \Omega$ , we have

$$|f^{(n)}(z_0)| \leq \frac{n!}{2\pi} \int_0^{2\pi} \frac{\|f\|_\infty}{R^{n+1}} R d\theta = \frac{n! \|f\|_\infty}{R^n},$$

where  $\|f\|_\infty := \sup_{z \in C_R} |f(z)|$ .

Slogan: the  $n$ th Taylor coefficient of an analytic function is at most  $\sup_{|z|=R} |f|/R^n$ .

*Proof .*

- Given  $z_0 \in \Omega$ , pick the largest disc  $D_R(z_0) \subset \Omega$  and let  $C_R = \partial D_R$ .
- Then apply the integral formula. ■

**Theorem 4.2 (The Residue Theorem).**

If  $f$  is holomorphic on an open set  $\Omega$  containing a curve  $\gamma$  and its interior  $\gamma^\circ$ , except for finitely many poles  $\{z_k\}_{k=1}^N \subset \gamma^\circ$ . Then

$$\int_{\gamma} f(z) dz = 2\pi i \sum_{k=1}^N \text{Res}_{z_k} f.$$

**Proposition 4.3 (For simple poles).**

If  $z_0$  is a simple pole of  $f$ , then

$$\text{Res}_{z_0} f = \lim_{z \rightarrow z_0} (z - z_0) f(z).$$

Example: Let  $f(z) = \frac{1}{1+z^2}$ , then  $\text{Res}(i, f) = \frac{1}{2i}$ .

**Proposition 4.4 (For higher order poles).**

If  $f$  has a pole  $z_0$  of order  $n$ , then

$$\text{Res}_{z=z_0} f = \lim_{z \rightarrow z_0} \frac{1}{(n-1)!} \left( \frac{\partial}{\partial z} \right)^{n-1} (z - z_0)^n f(z).$$

## 5 Conformal Maps

Notation:

- $S := \{x + iy \mid x \in \mathbb{R}, 0 < y < \pi\}$ .
- $\mathbb{D}$  the disc
- $\mathbb{H}$  the upper half plane
- $X_{\frac{1}{2}}$ : a “half” version of  $X$ .

**Theorem 5.1 (Classification of Conformal Maps).**

There are 8 major types of conformal maps:

Type/Domains	Formula
Translation/Dilation/Rotation	$z \mapsto e^{i\theta}(cz + h)$
Sectors to sectors	$z \mapsto z^n$
$\mathbb{D}_{\frac{1}{2}} \rightarrow \mathbb{H}_{\frac{1}{2}}$ , the first quadrant	$z \mapsto \frac{1+z}{1-z}$
$\mathbb{H} \rightarrow S$	$z \mapsto \log(z)$



$\mathbb{D}_{\frac{1}{2}} \rightarrow S_{\frac{1}{2}}$	$z \mapsto \log(z)$
$S_{\frac{1}{2}} \rightarrow \mathbb{D}_{\frac{1}{2}}$	$z \mapsto e^{iz}$
$\mathbb{D}_{\frac{1}{2}} \rightarrow \mathbb{H}$	$z \mapsto \frac{1}{2} \left( z + \frac{1}{z} \right)$
$S_{\frac{1}{2}} \rightarrow \mathbb{H}$	$z \mapsto \sin(z)$

Conformal maps  $\mathbb{D} \rightarrow \mathbb{D}$  have the form

$$g(z) = \lambda \frac{1-a}{1-\bar{a}z}, \quad |a| < 1, \quad |\lambda| = 1.$$

### 5.1 Plane to Disc

$$\begin{aligned} \varphi : \mathbb{H} &\rightarrow \mathbb{D} \\ \varphi(z) &= \frac{z-i}{z+i} \quad f^{-1}(z) = i \left( \frac{1+w}{1-w} \right). \end{aligned}$$

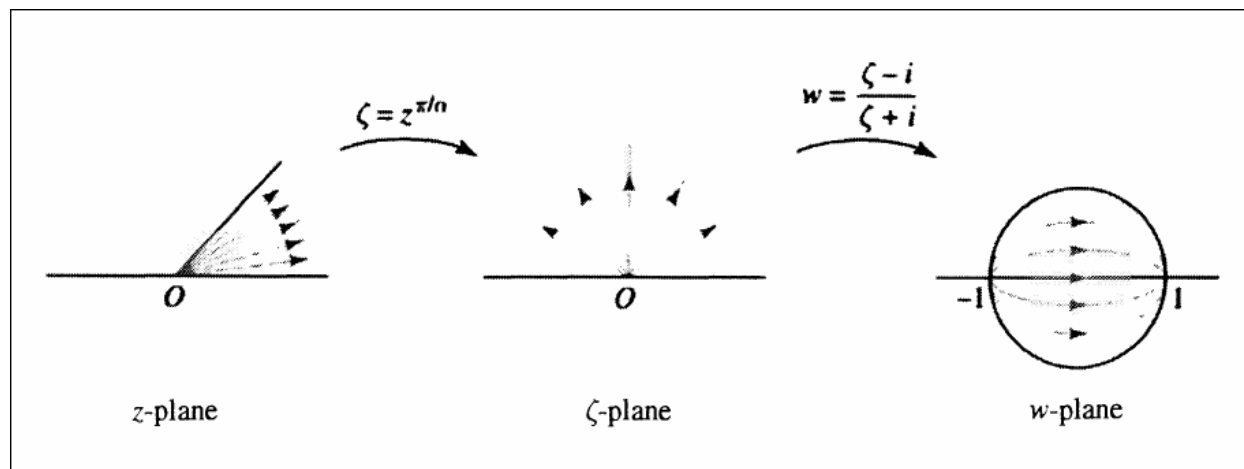
### 5.2 Sector to Disc

For  $S_\alpha := \{z \in \mathbb{C} \mid 0 < \arg(z) < \alpha\}$  an open sector for  $\alpha$  some angle, first map the sector to the half-plane:

$$\begin{aligned} g : S_\alpha &\rightarrow \mathbb{H} \\ g(z) &= z^{\frac{\pi}{\alpha}}. \end{aligned}$$

Then compose with a map  $\mathbb{H} \rightarrow \mathbb{D}$ :

$$\begin{aligned} f : S_\alpha &\rightarrow \mathbb{D} \\ f(z) &= (\varphi \circ g)(z) = \frac{z^{\frac{\pi}{\alpha}} - i}{z^{\frac{\pi}{\alpha}} + i}. \end{aligned}$$



### 5.3 Strip to Disc

- Map to horizontal strip by rotation  $z \mapsto \lambda z$ .
- Map horizontal strip to sector by  $z \mapsto e^z$
- Map sector to  $\mathbb{H}$  by  $z \mapsto z^{\frac{\pi}{\alpha}}$ .
- Map  $\mathbb{H} \rightarrow \mathbb{D}$ .

**Theorem 5.2 (Riemann Mapping).**

If  $\Omega$  is simply connected, nonempty, and not  $\mathbb{C}$ , then for every  $z_0 \in \Omega$  there exists a unique conformal map  $F: \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$  and  $F'(z_0) > 0$ .

Thus any two such sets  $\Omega_1, \Omega_2$  are conformally equivalent.

## 6 Schwarz Reflection

**Theorem 6.1 (Schwarz Reflection).**

If  $f$  is continuous and holomorphic on  $\mathbb{H}^+$  and real-valued on  $\mathbb{R}$ , then the extension defined by  $F(z) = \overline{f(\bar{z})}$  for  $z \in \mathbb{H}^-$  is a well-defined holomorphic function on  $\mathbb{C}$ .

**Remark 1.**

$\mathbb{H}^+, \mathbb{H}^-$  can be replaced with any region symmetric about a line segment  $L \subseteq \mathbb{R}$ .

## 7 Zeros and Poles

### 7.1 Singularities

**Theorem 7.1 (Riemann's Removable Singularity Theorem).**

If  $f$  is holomorphic on  $\Omega$  except possibly at  $z_0$  and  $f$  is bounded on  $\Omega \setminus \{z_0\}$ , then  $z_0$  is a removable singularity.

### 7.2 Counting Zeros

**Theorem 7.2 (Argument Principle).**

For  $f$  meromorphic in  $\gamma^\circ$ , if  $f$  has no poles and is nonvanishing on  $\gamma$  then

$$\Delta_\gamma \arg f(z) = \int_\gamma \frac{f'(z)}{f(z)} dz = 2\pi(Z_f - P_f),$$

where  $Z_f$  and  $P_f$  are the number of zeros and poles respectively enclosed by  $\gamma$ , counted with multiplicity.

**Theorem 7.3 (Rouché's Theorem).**

If  $f, g$  are analytic on a domain  $\Omega$  with finitely many zeros in  $\Omega$  and  $\gamma \subset \Omega$  is a closed curve surrounding each point exactly once, where  $|g| < |f|$  on  $\gamma$ , then  $f$  and  $f + g$  have the same number of zeros.

Alternatively:

Suppose  $f = g + h$  with  $g \neq 0, \infty$  on  $\gamma$  with  $|g| > |h|$  on  $\gamma$ . Then

$$\Delta_\gamma \arg(f) = \Delta_\gamma \arg(h) \quad \text{and} \quad Z_f - P_f = Z_g - P_g.$$

**Example 7.1.** • Take  $P(z) = z^4 + 6z + 3$ .

- On  $|z| < 2$ :
  - Set  $f(z) = z^4$  and  $g(z) = 6z + 3$ , then  $|g(z)| \leq 6|z| + 3 = 15 < 16 = |f(z)|$ .
  - So  $P$  has 4 zeros here.
- On  $|z| < 1$ :
  - Set  $f(z) = 6z$  and  $g(z) = z^4 + 3$ .
  - Check  $|g(z)| \leq |z|^4 + 3 = 4 < 6 = |f(z)|$ .
  - So  $P$  has 1 zero here.

**Example 7.2.** • Claim: the equation  $\alpha z e^z = 1$  where  $|\alpha| > e$  has exactly one solution in  $\mathbb{D}$ .

- Set  $f(z) = \alpha z$  and  $g(z) = e^{-z}$ .
- Estimate at  $|z| = 1$  we have  $|g| = |e^{-z}| = e^{-\Re(z)} \leq e^1 < |\alpha| = |f(z)|$
- $f$  has one zero at  $z_0 = 0$ , thus so does  $f + g$ .

## 8 Linear Fractional Transformations

**Definition 8.0.1** (Linear Fractional Transformation).

A map of the following form is a *linear fractional transformation*:

$$T(z) = \frac{az + b}{cz + d},$$

where the denominator is assumed to not be a multiple of the numerator.

These have inverses given by

$$T^{-1}(w) = \frac{dw - b}{-cw + a}.$$

**Theorem 8.1** (*Cayley Transform*).

The fractional linear transformation given by  $F(z) = \frac{i - z}{i + z}$  maps  $\mathbb{D} \rightarrow \mathbb{H}$  with inverse

$$G(w) = i \frac{1 - w}{1 + w}.$$

**Theorem 8.2** (*Schwarz Lemma*).

If  $f : \mathbb{D} \rightarrow \mathbb{D}$  is holomorphic with  $f(0) = 0$ , then

1.  $|f(z)| \leq |z|$  for all  $z \in \mathbb{D}$
2.  $|f'(0)| \leq 1$ .

Moreover, if  $|f(z_0)| = |z_0|$  for any  $z_0 \in \mathbb{D}$  or  $|f'(0)| = 1$ , then  $f$  is a rotation

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## 9 Appendix: Proofs of the Fundamental Theorem of Algebra

### 9.0.1 Fundamental Theorem of Algebra: Argument Principle

- Let  $P(z) = a_n z^n + \cdots + a_0$  and  $g(z) = P'(z)/P(z)$ , note  $P$  is holomorphic
- Since  $\lim_{|z| \rightarrow \infty} P(z) = \infty$ , there exist an  $R > 0$  such that  $P$  has no roots in  $\{|z| \geq R\}$ .
- Apply the argument principle:

$$N(0) = \frac{1}{2\pi i} \oint_{|\xi|=R} g(\xi) d\xi.$$

- Check that  $\lim_{|z| \rightarrow \infty} z g(z) = n$ , so  $g$  has a simple pole at  $\infty$
- Then  $g$  has a Laurent series  $\frac{n}{z} + \frac{c_2}{z^2} + \cdots$
- Integrate term-by-term to get  $N(0) = n$ .

### 9.0.2 Fundamental Theorem of Algebra: Rouché's Theorem

- Let  $P(z) = a_n z^n + \cdots + a_0$
- Set  $f(z) = a_n z^n$  and  $g(z) = P(z) - f(z) = a_{n-1} z^{n-1} + \cdots + a_0$ , so  $f + g = P$ .
- Choose  $R > \max\left(\frac{|a_{n-1}| + \cdots + |a_0|}{|a_n|}, 1\right)$ , then

$$\begin{aligned} |g(z)| &:= |a_{n-1} z^{n-1} + \cdots + a_1 z + a_0| \\ &\leq |a_{n-1} z^{n-1}| + \cdots + |a_1 z| + |a_0| \quad \text{by the triangle inequality} \\ &= |a_{n-1}| \cdot |z^{n-1}| + \cdots + |a_1| \cdot |z| + |a_0| \\ &= |a_{n-1}| \cdot R^{n-1} + \cdots + |a_1| R + |a_0| \\ &\leq |a_{n-1}| \cdot R^{n-1} + |a_{n-2}| \cdot R^{n-1} + \cdots + |a_1| \cdot R^{n-1} + |a_0| \cdot R^{n-1} \quad \text{since } R > 1 \implies R^{a+b} \geq R^a \\ &= R^{n-1} (|a_{n-1}| + |a_{n-2}| + \cdots + |a_1| + |a_0|) \\ &\leq R^{n-1} (|a_n| \cdot R) \quad \text{by choice of } R \\ &= R^n |a_n| \\ &= |a_n z^n| \\ &:= |f(z)| \end{aligned}$$

- Then  $a_n z^n$  has  $n$  zeros in  $|z| < R$ , so  $f + g$  also has  $n$  zeros.

### 9.0.3 Fundamental Theorem of Algebra: Liouville's Theorem

- Suppose  $p$  is nonconstant and has no roots, then  $\frac{1}{p}$  is entire
- Write  $g(z) := \frac{p'(z)}{z^n} = a_n \left( \frac{a_{n-1}}{z} + \cdots + \frac{a_0}{z^n} \right)$
- Outside a disc:
  - Note  $\lim_{z \rightarrow \infty} = 0$  for the parenthesized terms, so there exists an  $R$  large enough such that  $|g(z)| \geq \frac{1}{2}|a_n|$

- 
- Then  $|p(z)| \geq \frac{R^n}{2}|a_n|$  implies  $\frac{1}{p}$  is bounded in  $|z| > R$
  - Inside a disc:
    - $p$  is continuous with no roots so  $p$  is bounded below on  $|z| < R$ .
    - $p$  is continuous on a compact set and thus achieves a min  $A$
    - Set  $B = \min(A, \frac{R^n}{2}|a_n|)$ , then  $p \geq B$  on  $|z| < R$ .
  - Thus  $p$  is bounded below everywhere and thus  $\frac{1}{p}$  is bounded above everywhere, thus bounded.
  - Thus  $\frac{1}{p}$  is constant, forcing  $p$  to be constant.

#### 9.0.4 Fundamental Theorem of Algebra: Open Mapping Theorem

- $p$  induces a continuous map  $\mathbb{C}\mathbb{P}^1 \rightarrow \mathbb{C}\mathbb{P}^1$
- The continuous image of compact space is compact;
- Since the codomain is Hausdorff space, the image is closed.
- $p$  is holomorphic and non-constant, so by the Open Mapping Theorem, the image is open.
- Thus the image is clopen in  $\mathbb{C}\mathbb{P}^1$ .
- The image is nonempty, since  $p(1) = \sum a_i \in \mathbb{C}$
- $\mathbb{C}\mathbb{P}^1$  is connected
- But the only nonempty clopen subset of a connected space is the entire space.
- So  $p$  is surjective, and  $p^{-1}(0)$  is nonempty.
- So  $p$  has a root.

## 10 Appendix

$$\begin{aligned}
 dz &= dx + i dy \\
 d\bar{z} &= dx - i dy \\
 f_z &= f_x = i^{-1} f_y \\
 \int_0^{2\pi} e^{i\ell x} dx &= \begin{cases} 2\pi & (\ell = 0) \\ 0 & (\ell \neq 0) \end{cases} .
 \end{aligned}$$

### 10.1 Misc Prerequisites

Standard forms of conic sections:

- Circle:  $x^2 + y^2 = r^2$
- Ellipse:  $\left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 = 1$
- Hyperbola:  $\left(\frac{x}{a}\right)^2 - \left(\frac{y}{b}\right)^2 = 1$ 
  - Rectangular Hyperbola:  $xy = \frac{c^2}{2}$ .
- Parabola:  $-4ax + y^2 = 0$ .

Mnemonic: Write  $f(x, y) = Ax^2 + Bxy + Cy^2 + \dots$ , then consider the discriminant  $\Delta = B^2 - 4AC$ :

- $\Delta < 0 \iff$  ellipse  
   –  $\Delta < 0$  and  $A = C, B = 0 \iff$  circle
- $\Delta = 0 \iff$  parabola
- $\Delta > 0 \iff$  hyperbola

### Completing the square:

$$x^2 - bx = (x - s)^2 - s^2 \quad \text{wheres } s = \frac{b}{2}$$

$$x^2 + bx = (x + s)^2 - s^2 \quad \text{wheres } s = \frac{b}{2}.$$

### Useful Properties

- $\Re(z) = \frac{1}{2}(z + \bar{z})$  and  $\Im(z) = \frac{1}{2i}(z - \bar{z})$ .
- $z\bar{z} = |z|^2$
- Exponential forms of cosine and sine:
  - $\cos(\theta) = \frac{1}{2}(e^{i\theta} + e^{-i\theta})$
  - $\sin(\theta) = \frac{1}{2i}(e^{i\theta} - e^{-i\theta})$ .

### Useful Series

$$\sum_{k=1}^n k = \frac{n(n+1)}{2}$$

$$\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{k=1}^n k^3 = \frac{n^2(n+1)^2}{4}$$

$$\log(z) = \sum_{j=0}^{\infty} (-1)^j \frac{(z-a)^j}{j} \frac{\partial}{\partial z} \sum_{j=0}^{\infty} a_j z^j = \sum_{j=0}^{\infty} a_{j+1} z^j$$

The sum of the interior angles of an  $n$ -gon is  $(n-2)\pi$ , where each angle is  $\frac{n-2}{n}\pi$ .

### Basics

- Show that  $\frac{1}{z} \sum_{k=1}^{\infty} \frac{z^k}{k}$  converges on  $S^1 \setminus \{1\}$  using summation by parts.
- Show that any power series is continuous on its domain of convergence.
- Show that a uniform limit of continuous functions is continuous.

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- Show that if  $f$  is holomorphic on  $\mathbb{D}$  then  $f$  has a power series expansion that converges uniformly on every compact  $K \subset \mathbb{D}$ .
- Show that any holomorphic function  $f$  can be uniformly approximated by polynomials.
- Show that if  $f$  is holomorphic on a connected region  $\Omega$  and  $f' \equiv 0$  on  $\Omega$ , then  $f$  is constant on  $\Omega$ .
- Show that if  $|f| = 0$  on  $\partial\Omega$  then either  $f$  is constant or  $f$  has a zero in  $\Omega$ .
- Show that if  $\{f_n\}$  is a sequence of holomorphic functions converging uniformly to a function  $f$  on every compact subset of  $\Omega$ , then  $f$  is holomorphic on  $\Omega$  and  $\{f'_n\}$  converges uniformly to  $f'$  on every such compact subset.
- Show that if each  $f_n$  is holomorphic on  $\Omega$  and  $F := \sum f_n$  converges uniformly on every compact subset of  $\Omega$ , then  $F$  is holomorphic.
- Show that if  $f$  is once complex differentiable at each point of  $\Omega$ , then  $f$  is holomorphic.